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- 1) General Transmittal Letter (2 copies);
- 2) Amendment Transmittal Letter (2 copies);
- 3) Preliminary Amendment;
- 4) Return Post Card;
- 5) Five (5) sheets of Formal Drawings;
- 6) Statement to Support Filing and Submission in Accordance with 37 C.F.R. §§1.821-1.825;
- 7) Sequence listing; and
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Jane Massey Licata  
JANE MASSEY LICATA

**AMENDMENT TRANSMITTAL LETTER (Small Entity)**

Applicant(s): Allen S. Laughon

Docket No.

WARF-0002

Serial No.  
09/810,385Filing Date  
March 16, 2001Examiner  
Not yet assignedGroup Art Unit  
1641Invention: **COMPOSITIONS AND METHODS FOR NEGATIVE REGULATION OF TGF-BETA PATHWAYS****RECEIVED**

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TO THE ASSISTANT COMMISSIONER FOR PATENTS:

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Transmitted herewith is an amendment in the above-identified application.

- ☒ Small Entity status of this application has been established under 37 CFR 1.27 by a verified statement previously submitted.
- ☐ A verified statement to establish Small Entity status under 37 FR 1.27 is enclosed.

The fee has been calculated and is transmitted as shown below.

**CLAIMS AS AMENDED**

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	8 -	20 =	0 x	\$9.00	\$0.00
INDEP. CLAIMS	2 -	3 =	0 x	\$42.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$0.00

- ☒ No additional fee is required for amendment.
- ☐ Please charge Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_  
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- ☒ Any additional filing fees required under 37 C.F.R. 1.16.
- ☒ Any patent application processing fees under 37 CFR 1.17.

*Jane Massey Licata*

Signature

Dated: April 9, 2002

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